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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Rev. 6/95U.S. Department of Commerce  
Patent and Trademark Office**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**

☐ Declaration Submitted with Initial Filing      OR      ☒ Declaration Submitted after Initial Filing

Attorney Docket Number

4152-2-PUS

First Named Inventor

COX, George N.

**COMPLETE IF KNOWN**

Application Number

09/889,273

Filing Date

July 13, 2001

Group Art Unit

Examiner Name

As below named inventor, I hereby declare that::

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed for which a patent is sought on the invention entitled:

**"METHODS FOR MAKING PROTEINS CONTAINING FREE CYSTEINE RESIDUES"***(Title of the Invention)*

the specification of which

☐ is attached hereto

OR

☒ was filed on  
(MM/DD/YYYY)

14 January 2000

as United States Application Number or PCT International

Application Number

PCT/US00/00931

and was amended on  
(MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any Pct international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)		
60/116,041	January 14, 1999	<input type="checkbox"/>	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents Washington, DC 20231

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## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name OR SHERIDAN ROSS P.C. Customer Number or label

☐ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
ZINGER, DAVID F.	<u>29,127</u>	HANSEN, LEWIS D.	<u>35,536</u>
GROSETH, CRAIG C.	<u>31,713</u>	KOVARIK, JOSEPH E.	<u>33,005</u>
BLAKELY, TODD P.	<u>31,328</u>	SWARTZ, DOUGLAS W.	<u>37,739</u>
CONNELL, GARY J	<u>32,020</u>	KUGLER, BRUCE A.	<u>38,942</u>
CROOK, WANNELL M.	<u>31,071</u>	BRUNELLI, ROBERT R.	<u>39,617</u>
STAVISH, SABRINA CROWLEY	<u>33,374</u>	HANSRA, TEJPAL S.	<u>38,172</u>

☒ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

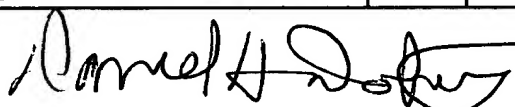
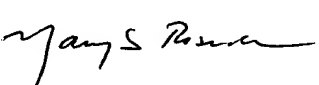
Please direct all correspondence to:	<input type="checkbox"/> Customer Number or label	OR	<input type="checkbox"/> Fill in correspondence address below
Name	<u>ANGELA K. DALLAS</u>		
Address	<u>1560 BROADWAY, SUITE 1200</u>		
Address			
City	<u>DENVER</u>	State	<u>COLORADO</u>
Zip	<u>80202-5141</u>		
Country	<u>UNITED STATES OF AMERICA</u>	Telephone	<u>(303) 863-9700</u>
Fax	<u>(303) 863-0223</u>		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	<u>George</u>	Middle Initial	<u>N.</u>	Family Name	<u>COX</u>	Suffix e.g. Jr.	<u>III</u>
Inventor's Signature	<u>George N. Cox III</u>				Date	<u>August 28, 2001</u>	
Residence City	<u>Louisville</u>	State	<u>Colorado</u>	Country	<u>USA</u>	Citizenship	<u>USA</u>
Post Office Address	<u>678 West Willow St.</u>						
Post Office Address							
City	<u>Louisville</u>	State	<u>Colorado</u>	Zip	<u>80027</u>	Country	<u>USA</u>
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

Type a plus sign (+) inside this box → ☐

<b>DECLARATION</b>										<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>				
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name		Daniel			Middle Initial	H.	Family Name		DOHERTY		Suffix e.g. Jr.			
Inventor's Signature								Date		08/29/01				
Residence City		Boulder			State	Colorado		Country		USA		Citizenship USA		
Post Office Address		719 Ithaca Drive										USA		
Post Office Address														
City		Boulder			State	Colorado		Zip	80303-80305 DHD 8/29/01		Country USA		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name		Mary			Middle Initial	S.	Family Name		ROSENDAHL		Suffix e.g. Jr.			
Inventor's Signature								Date		Aug 29, 2001				
Residence City		Broomfield			State	Colorado		Country		USA		Citizenship USA		
Post Office Address		310 Fairplay Ave.										USA		
Post Office Address														
City		Broomfield			State	Colorado		Zip	80020		Country USA		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name					Middle Initial		Family Name				Suffix e.g. Jr.			
Inventor's Signature								Date						
Residence City					State			Country				Citizenship		
Post Office Address														
Post Office Address														
City					State			Zip			Country		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name					Middle Initial		Family Name				Suffix e.g. Jr.			
Inventor's Signature								Date						
Residence City					State			Country				Citizenship		
Post Office Address														
Post Office Address														
City					State			Zip			Country		Applicant Authority	

[ ] Further applicants and/or (further) inventors are indicated on another continuation sheet



## DECLARATION

**ADDITIONAL and/or AGENT INFORMATION**  
**Supplemental Sheet**

Name	Registration Number	Name	Registration Number
CARDWELL, DANA HARTJE	40,638		
DALLAS-PEDRETTI, ANGELA	42,460		
LIEB, BENJAMIN B.	42,801		
KNEPPER, BRADLEY M.	44,189		
TRUDELL, MIRIAM DRICKMAN	42,499		
DUPRAY, DENNIS J.	46,299		
WINTERTON, Kenneth C.	48,040		
TRAVER, Robert D.	47,999		
JOHNSON, Brent P.	38,031		
YASKANIN, Mark L.	45,246		
KOCIALSKI, Mollybeth R.	42,754		

PATENT APPLICATIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Bolder Biotechnology, Inc.

Int'l. Serial No.: PCT/US00/00931

Int'l. Filing Date: 14 January 2000

Priority Date: 14 January 1999

For: "METHODS FOR MAKING PROTEINS  
CONTAINING FREE CYSTEINE  
RESIDUES"

Atty. File No.: 4152-2-PUS

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECORDATION OF ASSIGNMENT

"EXPRESS MAIL" MAILING LABEL NUMBER: EL822581825US  
DATE OF DEPOSIT: 9-6-01

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE  
UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND  
IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, BOX PCT, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: JANICE MESSER

SIGNATURE: *Janice Messer*

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

George N. Cox  
Daniel H. Doherty  
Mary S. Rosendahl

2. Name and address of receiving party(ies):

Bolder Biotechnology, Inc.  
678 West Willow Street  
Louisville, Colorado 80027

3. Nature of Conveyance:

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other: _____		

Execution Date: August 28, 2001

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

- A. Patent Application No.(s): 09/889,273  
B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

Angela K. Dallas  
Sheridan Ross P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141,

6. Total number of applications and patents involved: 1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 6

Respectfully submitted,

SHERIDAN ROSS P.C.

09/13/2001 SNAJARRO 00000069 09889273

02 FC:581

40.00 DP

By: \_\_\_\_\_

*Angela Dallas*

Angela K. Dallas  
Registration No. 42,460  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: September 6, 2001

## ASSIGNMENT

WHEREAS, we, George N. Cox of 678 West Willow St., Louisville, Colorado 80027, Daniel H. Doherty of 719 Ithaca Drive, Boulder, Colorado 80303, and Mary S. Rosendahl of 310 Fairplay Ave., Broomfield, Colorado 80020, have invented a certain new and useful invention entitled "METHODS FOR MAKING PROTEINS CONTAINING FREE CYSTEINE RESIDUES", for which an application for Letters Patent of the United States has been prepared and filed on July 13, 2001, receiving Serial No. 09/889,273, and further identified as Attorney File No. 4152-2-PUS;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Bolder Biotechnology, Inc., a Colorado corporation having a place of business at 678 West Willow Street, Louisville, Colorado 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Bolder Biotechnology, Inc. and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Bolder Biotechnology, Inc., its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: August 28, 2001 By: George N. Cox  
George N. Cox

Date: August 28, 2001 By: Daniel H. Doherty  
Daniel H. Doherty

Date: Aug 28, 2001 By: Mary S. Rosendahl  
Mary S. Rosendahl

STATE OF Colorado )  
 ) ss.  
COUNTY OF Boulder )

Before me, a Notary Public in and for said County and State, personally appeared George N. Cox, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 28 day of August, 2001:

Betsy Fisher  
Notary Public  
Betsy Fisher Notary for the State of Colorado  
Boulder County  
My Commission Expires 11-18-2004

My Commission Expires: \_\_\_\_\_

STATE OF Colorado )  
 ) ss.  
COUNTY OF Boulder )

Before me, a Notary Public in and for said County and State, personally appeared Daniel H. Doherty, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 28 day of August, 2001.

Betsy Fisher  
Notary Public  
Betsy Fisher Notary for the State of Colorado  
Boulder County  
My Commission Expires 11-18-2004

My Commission Expires: \_\_\_\_\_



STATE OF Colorado )  
 ) ss.  
COUNTY OF Boulder )

Before me, a Notary Public in and for said County and State, personally appeared Mary S. Rosendahl, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same for the purposes and considerations therein expressed.


Given under my hand and seal of office this 28 day of August, 2001.

Betsy Fisher  
Notary Public

My Commission Expires: Betsy Fisher Notary for the State of Colo.  
Boulder County  
My Commission Expires 11-18-2004

## POWER OF ATTORNEY

On behalf of Bolder Biotechnology, Inc., a Colorado corporation having a principal place of business at 678 West Willow Street, Louisville, Colorado 80027 being the assignee of and owning all right, title and interest in the invention entitled "METHODS FOR MAKING PROTEINS CONTAINING FREE CYSTEINE RESIDUES", for which application for Letters Patent of the United States has been made by George N. Cox, Daniel H. Doherty, and Mary S. Rosendahl, said application having been filed on July 13, 2001, receiving Serial No. 09/889,273, and further identified as Attorney File No. 4152-2-PUS, I, Joe Cox, Ph.D., President of Bolder Biotechnology, Inc., hereby appoint David F. Zinger, Registration No. 29,127; Craig C. Groseth, Registration No. 31,713; Sabrina C. Stavish, Registration No. 33,374; Todd P. Blakely, Registration No. 31,328; Lewis D. Hansen, Registration No. 35,536; Joseph E. Kovarik, Registration No. 33,005; Gary J. Connell, Registration No. 32,020; Wannell M. Crook, Registration No. 31,071; Douglas W. Swartz, Registration No. 37,739; Bruce A. Kugler, Registration No. 38,942; Robert R. Brunelli, Registration No. 39,617; Tejpal S. Hansra, Registration No. 38,172; Dana Hartje Cardwell, Registration No. 40,638; Angela K. Dallas, Registration No. 42,460; Benjamin B. Lieb, Registration No. 42,801; Bradley M. Knepper, Registration No. 44,189; Miriam Drickman Trudell, Registration No. 42,499; Dennis J. Dupray, Registration No. 46,299; Kenneth C. Winterton, Registration No. 48,040; Robert D. Traver, Registration No. 47,999; Brent P. Johnson, Registration No. 38,031; Mark L. Yaskanin, Registration No. 45,246; and Mollybeth R. Kocalski, Registration No. 42,754 of SHERIDAN ROSS P.C., 1560 Broadway, Suite 1200, Denver, Colorado 80202-5141, telephone number (303) 863-9700, as attorneys and agents for Bolder Biotechnology, Inc. with full powers of substitution, association and revocation to prosecute the application and related U.S. and foreign applications and to transact all business in the United States Patent and Trademark Office and all foreign and international patent offices connected therewith.

By:   
George Joe Cox, Ph.D.  
President

Dated: August 28, 2001